

Attorney's Docket No. 005510.P066C

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:	)	
Arthur B. Odell	) Examiner:	Riley, Shawn
Application No. 10/816,069	) Art Unit:	2838
Filed: March 30, 2004	)	
For: METHOD AND APPARATUS FOR BALANCING ACTIVE CAPACITOR LEAKAGE CURRENT	) ) ) )	

Mail Stop RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

## Sir/Madam:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

_X	37 C.F.R. §1.97(b).
	37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is <u>one</u> of the following:
	A statement pursuant to 37 C.F.R. §1.97(e) or
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<ul> <li>37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:</li> <li>A statement pursuant to 37 C.F.R. §1.97(e) or</li> <li>A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).</li> <li>37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:</li> <li>(1) A statement pursuant to 37 C.F.R. §1.97(e); and</li> </ul>	37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:
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submission of the Information Disclosure Statement.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

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	or Form 1449	PTO			Complete	if Known
CIPE	NFORMATION DISCLOSURE			Application Number	10/816,069	
					Filing Date	March 30, 2004
_	TATEMENT BY APPLICANT (use as many sheets as necessary)			First Named Inventor:	Arthur B. Odell	
DEC 10 TOP				Art Unit	2838	
					Examiner Name	Riley, Shawn
SHEET	1		of	2	Attorney Docket Number	005510.P066C
			U.S. PATEN	T DOCUMENT		
Examiner Initials*	Cite No.1		Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Passages or Relevant
			Number-Kind Code <sup>2</sup> (If known)			Figures Appear
	1.	US-	5,063,340	11-05-1991	Kalenowsky	
	2.	US-	5,422,562	06-06-1995	Mammano et al.	
114		US-				
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		FO	REIGN PATENT	DOCUMENTS		
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	3.	EP 0076599	04-13-1983	Johnson Service Company		
	4.	FR 2690538	10-29-1993	CROIZIER		
	5.	JP 05299940	11-12-1993	Toshiba Corp.		
	6.	JP 10295081	11-04-1998	Toyo Electric Mfg. Co., Ltd.		
	7.	JP 2001286173	10-12-2001	Mitsubishi Electric Corp.		

Examiner		Date Considered	
Signature			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Substitute fo	or Form 1	449/PTO		Comp	lete if Known		
STATEMENT BY APPLICANT			NOCUDE	Application Number	10/816,069		
				Filing Date	March 30, 2004		
			PLICANT	First Named Inventor:	Arthur B. Odell		
				Art Unit	2838		
				Examiner Name	Riley, Shawn		
Street	2	of	2	Attorney Docket Number	005510.P066C		
TANK THE PARTY OF		•	NON PATENT LI	TERATURE DOCUMENTS	****		
Examiner Initials*	Cite No <sup>1</sup>		of the author (in CAPI) magazine, journal, se	TAL LETTERS), title of the article erial, symposium, catalog, etc.), disher, city and/or country where p	ate, page(s), volume-issue	T <sup>2</sup>	
	8.	Partial European	Search Report, EP 0	2 25 8114, September 14, 2004.			
			nyang .				
Examiner					Date		

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English translation is attached.

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